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Macrostructure of Pre-modern Japanese Dictionaries: Chinese Models and Japanese Innovations

Abstract

Japanese lexicography, being based on a writing system that was derived in Japan from the Chinese writing system, is rooted in the Chinese lexicographical tradition, but developed its own systems to organise lexicographical information. The first dictionaries created in Japan listed Chinese characters according to their form and radicals, recording only Chinese language information, while later dictionaries also included Japanese glosses. The development of the two syllabaries, *hiragana* and *katakana*, facilitated the creation of dictionaries with phonetically ordered lists of words. This paper presents the development of different lexicographical systems and their backgrounds.

Keywords: Japanese lexicography, dictionary macrostructure, writing system, semasiological macrostructure, onomasiological macrostructure

Izvleček - Makrostruktura predmodernih japonskih slovarjev: kitajski vzori in japonske inovacije

Japonsko slovaropisje tako kot japonska pisava izhaja iz kitajske tradicije, a je skozi stoletja razvilo izvirne sisteme organizacije informacij. Prvi slovariji so na Japonskem nastali

po kitajskem vzoru, njihova makrostruktura je bila organizirana glede na grafično obliko pismenk, razporejenih po pomenskih ključih, mikrostruktura gesel pa je bila po kitajskem vzoru enojezična. Ob teh so kmalu nastali tudi dvojezični kitajsko-japonski slovarji s semantično pogojeno strukturo. Z razvojem fonetičnih zlogovnic hiragane in katakane se je nato pojavil še tip slovarja, ki japonske besede razvršča fonetično. V prispevku opisujemo ozadje in razvoj različnih sistemov organizacije informacij.

Ključne besede: japonsko slovaropisje, slovarska makrostruktura, sistem pisave, semaziološka razporeditev, onomaziološka razporeditev

1 Introduction

ictionaries are cultural products that reflect the achievements and values of the cultural and social environments in which they were created. Dictionaries of past eras provide insight not only into the vocabulary of a particular era, but also into the social dimension of language use in that era. As tools that serve language users in different communicative situations, dictionaries reveal linguistic stratification, the broader positioning of language and the linguistic community in relation to other languages, and the wider values associated with language. This is also true for Japanese dictionaries: in the development of Japanese lexicography, from manuals for writing and reading characters in the Nara period, when the use of writing in Japan was just beginning to spread among a very small circle of monks and nobility, through the more convenient dictionaries with phonetic arrangements of native words in the Muromachi period,¹ to the blossoming of bilingual lexicography in support of an ambitious plan to adopt the technological achievements of the West in the Meiji period, and to today's diversified and flexible supply of lexical information through all the channels made possible by information and communication technologies, we can trace the changes in communication patterns, the social value of the different linguistic variants and the distribution of knowledge in society.

The sections that follow first introduce the writing system that was developed in Japan on the basis of the Chinese script, and which has shaped the development of Japanese lexicography. The types of dictionaries that evolved in Japan after the adoption of the script are then presented, with particular emphasis on the lexicographical structures and procedures adopted in Japan from the Chinese tradition, and on the original contribution of Japanese lexicographers to the development of lexicography for speakers of Japanese.

¹ In this article, I use the standard Hepburn romanization system to transcribe Japanese words. A more detailed description of the system and its use in Slovenian texts is given in Mlakar and IIc (2009).

2 The Japanese writing system

The overall development of Japanese lexicography is strongly conditioned by the Japanese writing system and its evolution (Seeley 1991/2000, Hirakawa et al. 2006). Writing – both the concept of writing itself and the actual system of Chinese characters – was introduced to Japan through Korean intermediaries, probably in the 5th century. Just as in medieval Europe only Latin was used for writing for a long time – both in areas where various Romance languages had already developed from Latin, but also in Germanic, Slavic and other areas where Latin was never the primary spoken language at all – so in Japan only Classical Chinese was initially used for writing.²

The difficulties in adopting Chinese characters for writing the as yet unwritten Japanese language were twofold: on the one hand, the objective technical difficulty of adapting a writing system that had been developed for and was optimally adapted to a typologically completely different language, and, on the other hand, the socio-cultural reluctance to use the vernacular instead of the more prestigious Chinese in situations of great symbolic significance, when writing was actually used, i.e. for administrative, religious, or scientific-philological purposes.

The objective technical difficulty in using Chinese characters to write Japanese stems from the fact that Chinese characters were developed to write an isolating tonal language with a predominantly monosyllabic monomorphemic vocabulary, whereas Japanese is an agglutinating language with a predominantly polysyllabic and polymorphemic vocabulary that also includes inflected word types. If the Chinese writing system adopted in Japan had been a system for transcribing the sound units of the language (according to what Haas (1983) refers to as the *cenemic* principle, in Hjelmslev's terms),³ it would probably have been much more easily adapted for transcribing Jap-

² Lurie (2011, 418) writes in more detail on the parallels between the role of Latin in Europe and the Chinese script in East Asia.

³ Hjelmslev (1938/1971, 161) uses the term *plérématique* (from Greek πλήρης (*pléres*) meaning "full") to refer to the content level of language, and *cénématique* (from Greek κενός (kenós) meaning "empty") to refer to the expressive level of language. Haas (1976, 153) applies the terms to the categorization of writing systems: according to Haas, the pleremic principle of writing is the principle in which each element of the writing system records one semantic unit of language (a word or morpheme), while the cenemic principle is the principle in which each element of the writing system records a sound unit of language (a phoneme or syllable). A more detailed explanation is also given by Coulmas (1989, 49), and in Slovene by Bekeš (1999, 221), while a more detailed typology of writing systems is presented by Daniels (2001).

anese, since Chinese has a more complex and diversified phonetic system with 400 distinct syllables (or 1,300 distinct syllables if tones are included), whereas the Japanese phonetic system has a four times smaller set of syllables, which would require a smaller number of characters than for the transcription of Chinese (Taylor and Taylor 2014, 259). However, since in the Chinese writing system (following the *pleremic* principle) each character records a single word or morpheme, transferring this system to another language requires a more complex adaptation.

When writing lexical words, it is plausibly intuitive to transfer the use of a character used for a word with a certain meaning in the original language to the transcription (and consequently the reading) of a word with the same or a similar meaning in another language, the transfer being a kind of translation. If, for example, in Chinese a word meaning "mountain" is written with the character \Box , the same character can be used to write the Japanese word /yama/, which also means "mountain". However, it is more difficult to find a solution for writing proper names or function words that do not exist in the original language for which the script was developed and for which therefore there is no character.

In addition to such linguistic and technical difficulties, the use of Japanese for writing in all the situations in which writing was actually used, i.e. for state-administrative, religious or scientific tasks, was also hampered by social and value-driven reservations. The use of elite classical Chinese as an official and scientific language was the most socially acceptable and coherent choice at a time when, in Japan, the Yamato government was rapidly adopting not only the Chinese script but also the Chinese system of state administration as well as Buddhist, Daoist and Confucian doctrines. In the mid-6th century, a sutra transcription office (shakyōsho 写経所) was set up, which accelerated the spread of Buddhism, with originally Indian sutras being adopted in Chinese translation. As part of the Taika 大化 reform in the mid-7th century, which aimed to organise a Chinese-style centralised state, a Chinese-style code of laws was drawn up and a school for civil servants, the Daigakuryō 大 学寮, was established, where education was based on the classic Confucian works. In such a context, Chinese characters were initially used to write official texts in classical Chinese rather than directly in Japanese.

Despite these obstacles, from the 7th century onwards a writing system gradually developed in Japan which also made it possible to write literary texts (poetry, later also diaries and other prose). In this system, Chinese characters were used to write Japanese according to three different principles.

One of the principles, as mentioned above, is *logographic*: a Chinese character originally used to write a Chinese word with a certain meaning was used to write a Japanese word with the same or a similar meaning, as in the example above of the character \Box , which was created to write the Chinese word /srɛn/ (now /shān/) meaning "mountain", and in Japan came to be used to write the Japanese word /yama/, which also means "mountain". This principle is called in Japanese the *kun* principle or *kun'yomi*, which literally means "interpretive reading" or "explanatory reading", since reading the Chinese character using the corresponding Japanese word was a matter of translating or "interpreting" the character for Japanese speakers (Lurie 2011, 175-177, 389).

The second principle applied in Japan when using Chinese characters does not actually adapt the Chinese script to the Japanese language, but rather adapts the Japanese language to the Chinese script by introducing Chinese words, together with the characters used to write them in Chinese, into the Japanese vocabulary, while phonetically adapting them to the Japanese phonetic system. This principle, which in Japanese is called the *on* principle or *on'yomi* (literally meaning "reading the sound" in the sense of the original pronunciation of a Chinese word), has profoundly influenced the development of Japanese vocabulary, almost half of which is still made up of originally Chinese words (Satō 1981; Okimori et al. 2006, 71).

The third principle, called the Man'yōgana principle after the Man'yōshū collection of poems, is the phonographic principle, which is the same as the principle that led to the development of cenemic scripts from Egyptian hieroglyphs (Coulmas 1989). Chinese characters, originally created and used to write particular words, were used to write syllables or words that were pronounced the same but had a different meaning. This principle was most often applied to the pronunciation of the Chinese word that a particular character originally represented, so that, for example, the character 安, which originally represented the Chinese word /an/ ("peace"), was used to represent the syllable /a/ in any context, in words or syllables with unrelated meanings. This principle could also be applied to kun'yomi, i.e. the Japanese translation of the Chinese word represented by a certain character. For example, the character 女, which originally represented the Chinese word for "woman" and which was translated into Japanese as /me/ (which also means "woman"), was used to write the syllable /me/ in words with other meanings, i.e. irrespective of the meaning of the word for which the character was created in the first place. Two syllabic scripts, hiragana and katakana, evolved from the characters used according to this principle, through gradual standardization and simplification. Each grapheme in these syllabaries represents one syllable, and these are nowadays used alongside Chinese characters to write function words and morphemes. However, the development and standardization of such a system was not simple and required much experimentation and innovation, which is also evident in the development of Japanese lexicography.⁴

3 A typology of Japanese dictionaries

While in Western lexicography (Shcherba 1941/1995; Hartmann 2006 et al.), the classification of dictionaries according to their macrostructure follows the established dyadic division into onomasiological dictionaries, in which entries are arranged according to the written form of the words (mono- and bilingual or multilingual dictionaries in alphabetical order), and semasiological dictionaries, in which entries are arranged according to semantic criteria (dictionaries of synonyms, thesauri, taxonomies, ontologies, etc.), three main categories are commonly used in Japanese lexicography, as described below.

The greater complexity in the organization of information in Japanese dictionaries compared to dictionaries of alphabetic languages stems from the difference between cenemic and pleremic writing systems (Haas 1976; 1983; Coulmas 1989; Bekeš 1999). While in cenemic writing systems, such as the Latin alphabet, *hiragana* and *katakana*, each grapheme represents one sound unit of the language (phonemes in the Latin alphabet, *syllapbles* in *hiragana* and *katakana*), in pleremic writing systems, such as the Chinese script, individual characters represent semantic units of the language (words or morphemes), which, in addition to their meaning, also have an acoustic form, so that the graphic characters are directly linked to the vocabulary, i.e. the lexical system, and through this also to the vocal realizations of words, and thus to the phonetic system. At the same time, the graphic characters (also because of their number) are structured and interconnected in form, thus forming a graphic system which is the third network (besides the semantic and the phonetic ones) on the basis of which dictionary information can be arranged.

In the case of cenemic scripts such as the Latin alphabet and *hiragana*, the total number of characters is known and small enough for users to memorise the standard order, which can be used to arrange (collate) words in dictionaries. In the Latin alphabet this is the alphabetical order (a, b, c, etc.),

⁴ For a more detailed description of the adoption of the Chinese script, see the chapter "Where Have the Chinese Characters Gone? Modernization of Writing Systems in the Periphery of the Sinographic Cosmopolis" (Bekeš 2024) in this volume.

in Greek α , β , γ , δ , ϵ , etc. These are traditionally established, arbitrary orders without linguistic or other known motivation (Daniels 2001, 71–72). There are too many Chinese characters, however, for users to be able to learn them all by heart and at the same time remember an arbitrarily agreed order of arrangement. Today, the usual criteria for ordering (and looking up) Chinese characters in dictionaries according to their form are the *number of strokes* of which the character is composed and the *semantic radicals*.

The number of strokes is the number of individual lines or dots that make up a character. For example, the character \equiv is made up of three lines or "strokes", the character \Rightarrow is made up of four, the character \Rightarrow is made up of five, etc.

Semantic radicals are graphic units that – in complex, compound characters indicate the semantic field of the character and of the word it represents. Most characters are made up of smaller graphic units that can indicate either a field of meaning or a pronunciation. The graphic units that indicate the primary field of meaning of a character are called semantic radicals and are also used as stand-alone characters. For example, the semantic radical 木 can be a stand-alone character that represents the word $m\dot{u}$ in Chinese and either the Chinese loanword moku or the native word ki in Japanese, both of which mean "tree" or "wood", or it can be part of more complex, compound characters. Most compound characters fall into two categories: semantic and phono-semantic compounds. Semantic compounds contain elements other than the semantic radical to indicate additional meaning; for example, the character 林, which is made up of two characters for the word "tree", represents the word "forest" (Chinese lín, Japanese hayashi or rin). Phono-semantic compounds (which include most of the characters in use today) consist of a semantic radical, indicating the field of meaning, and a phonetic radical or phonetic component,⁵ indicating the pronunciation. For example, the character 松, which represents the word "pine" (Chinese sōng, Japanese in Chinese loanwords shō, native Japanese matsu), consists of a semantic radical 木, which indicates the semantic field of "wood", and a phonetic radical or phonetic component 公, which indicates a similar pronunciation in other compound characters (e.g. 訟 "to sue", Chinese sòng, Japanese in Chinese loanwords shō, and native Japanese arasou, uttaeru).

The number of semantic radicals is sufficiently small for a standard order to be memorised. This order (like the alphabetical order for Latin letters) is used

⁵ The term *fonetik* is also used in Slovene (Saje 1998); a more accurate term would be *fonofor* (Eng. phonophoric), i.e. a phonetic carrier, as suggested by Boltz (1989, A-9 and 1994).

to arrange the characters containing these radicals in dictionaries and similar lists. The first work to categorise characters according to their structure and semantic radicals is 説文解字 (Chinese: Shuōwén jiězì, Japanese: Setsumon kaiji) from 100 CE, which lists 540 radicals and arranges them semantically (Yong and Peng 2008; 98-103). To facilitate memorization of these radicals, poems were also composed that contained radicals in meaningful verse in a standard order (Wan and Liu 2019). Later, the list of radicals was pruned and their order standardised. Today, a list of 214 radicals is used in standard dictionaries, arranged graphically in ascending order according to the number of strokes they contain; characters containing the same radical are arranged in ascending order according to the number of strokes of which they themselves are composed, and those with the same radical and the same number of strokes are additionally arranged by the shape of the first stroke (horizontal, vertical, oblique, etc.). This list of radicals and the consistent arrangement in ascending order by the number of strokes was introduced in 1615 CE. In 1616, the dictionary 字彙 (Chinese: Zìhuì, Japanese: Jii) was first used by Mei Yingzuo (梅曆祚, Japanese: Bai Yōso), a philologist of the Ming dynasty (Yong and Peng 2008, 286-287). The dictionary 康熙字典 (Chinese Kāngxī Zìdiǎn, Japanese Kōki jiten), commissioned by Emperor Kāngxī and published in 1716, is also arranged according to this system (Yong and Peng 2008, 291-293). This dictionary has served as the model for most character dictionaries up to the present day, and even in the Unicode⁶ system the radicals and characters are arranged according to the same system.

In order to organise and search for information about linguistic units in dictionaries of languages written in Latin scripts, we can therefore start either a) from the semantic network of vocabulary, as realised in semasiological dictionaries (thesauri, ontologies, etc.), or b) from the phonetic system, as realised in onomasiological dictionaries (with alphabetically arranged entries). However, in dictionaries of languages written using a pleremic writing system, such as Chinese and Japanese, information about the language can be organised (and consequently searched) a) according to semantic criteria, as in European thesauri, b) according to the phonetic forms of the words (if there is a sufficiently standardised collation standard, i.e., a standard order according to which sounds are arranged) or c) by the graphic form of the characters and their elements, for which there may also be a collation standard by which they can be arranged and searched, such as the combined system of radicals and the number and shape of strokes described above.

⁶ See also Petrovčič (2024) in this volume.

Users who are looking for information on how to read an unfamiliar character and what it means, can only use dictionaries in which the words (characters) are classified according to graphic criteria, based on the form, basic elements and number of strokes of each character. If the users do not know how to read a character they encounter in a text, and do not know what it means, they cannot look it up in a list of pronunciations or meanings. Such dictionaries are therefore useful while reading. Conversely, users seeking information on the standard written form of a particular word, typically in a written text, can use dictionaries in which the entries (characters) are arranged according to the collation standard for the phonetic transcription of the word or (less efficiently for searching) according to the semantic categories in thesauri and similar types of dictionaries.

In the Japanese lexicographical tradition (Ueda and Hashimoto 1916/1968; Yoshida 1971; Kindaichi 1996, 16 etc.), following the example of Chinese dictionary terminology, dictionaries are divided into three main categories, reflecting the type of dictionary macrostructure or the way in which information is organised and, consequently, the possible ways in which this information can be looked up. These are:

- 1) dictionaries for searching according to character form 字形引辞書 *jikei-biki jisho*,
- 2) dictionaries for searching according to the meaning of characters 分類 体辞書 *bunruitai jisho* and
- 3) dictionaries for searching according to pronunciation 音引辞書 *onbiki jisho*.

Dictionaries for searching according to character form 字形引辞書 jikeibiki jisho correspond to the Chinese category 字書 (Chinese: zìshū or Japanese: jisho), such as 説文解字 (Chinese: Shuōwén jiězì or Japanese: Setsumon kai-ji, created in 100 CE), and the Japanese dictionaries Tenrei banshō meigi 篆 隷万象名義 (c. 830-835), Shinsen jikyō 新撰字鏡 (c. 898-901), and Ruiju myōgishō 類聚名義抄 (c. 1100). There is no direct parallel to this category in Western lexicography.

Dictionaries for searching according to the meaning of characters 分類体辞書 bunruitai jisho correspond to the Chinese category 義書 (Chinese yìshū or Japanese gisho), such as the Chinese dictionaries 爾雅 (Chinese Ěryǎ or Japanese Jiga, 3^{rd} century BCE, the oldest Chinese dictionary), 釋名 or 釈名 (Chinese Shiming or Japanese Shakumyō, c. 200), and the Japanese dictionary Wamyō ruijushō 和名類聚抄 (c. 931-938). These correspond to semasiological dictionaries (such as thesauri, etc.) in Western lexicography.

Finally, dictionaries for searching according to pronunciation 音引辞書 *on-biki jisho* correspond to the Chinese category 韻書 (Chinese *yīnláng*, Japanese *insho*). This is where Okimori et al. (2008, 9-11) place, for example, the Chinese dictionaries 切韻 (Chinese *Qièyùn*, Japanese *Setsuin*, c. 601) and 韻海鏡源 (Chinese *Yunhai jingyuan*, Japanese *Inkai kyōgen*, c. 780), and the Japanese dictionaries *Tōgū setsuin* 東宮切韻 (9th century, not preserved) and *Dōmō shōin* 童蒙頌韻 (1109). This is the closest category yet to the category of onomasiological dictionaries as we know it in Western lexicography.

In addition to these, there are two other categories in Chinese and Japanese metalexicography, which include reference works that are not dictionaries in the strict sense. The first is 類書 (Chinese *leishu* or Japanese *ruisho*), which comprises a series of encyclopaedic-anthological works in which quotations from other works are systematically collected and arranged according to semantic categories. The second category is 音義 (Chinese $y\bar{i}ny\hat{i}$ or Japanese *ongi*), which includes collections of glosses to particular sutras or other classical works; these are thus not dictionaries of general vocabulary, but rather annotations or glossaries to individual specific writings (Okimori et al. 2008, 10). The earliest example of a glossary with *kundoku* annotations, dating from the late 7th century, falls into this category (Lurie 2011, 185-187).

4 Historical development of Japanese dictionaries

The historical development of Japanese lexicography has been strongly influenced by Chinese lexicography and philology from the very beginning, as all other spheres of cultural development in early medieval Japan, but through innovations lexicography was gradually brought closer to Japanese readers and writers with a less thorough knowledge of the Chinese language, script and philology.

4.1 Emulating Chinese models

The oldest Japanese dictionary mentioned in historical sources is the *Niina* 新字, which is known only from the twenty-ninth volume of the *Nihonshoki* 日本書紀 chronicle, where it is stated that it was compiled in 682 by Sakaibe no Murajiiwatsumi 境部連石積 who described Chinese characters in forty-four volumes (*kan* 巻). The dictionary itself has not survived, but a few fragments of 7th-century glossaries have survived, suggesting that the first lexicographical works were already being compiled in Japan in the second half of the 7th century (Okimori et al. 2008, 9).

The following two dictionaries have also not survived; these are the *Yōshi kangoshō* 楊氏漢語抄 "Yang's Glossary of Chinese Words" and the Benshoku ryūjō 弁色立成. Their existence is assumed only on the basis of quotations taken from these works and included in the 10th-century dictionary Wamyō ruijushō 和名類聚抄 (or also 倭名類聚聚抄), but it is clear from these quotations that lexicography was already being developed in Japan in the 7th century (Yamada 1995).

The earliest surviving Japanese dictionary for searching according to character form, i.e. of the 字書 jisho category, is the Tenrei banshō meigi 篆隷万 象名義 (Record of the Names of All Things in Tensho and Reisho Notations), compiled by the monk Kūkai, probably between 830 and 835 (Li, Shin, Okada 2016). What is interesting here is that the dictionary is considered to be the oldest Japanese dictionary, as it was edited in Japan by a Japanese author, but it does not contain any Japanese characters at all, but rather Chinese characters with Chinese pronunciation notation and explanations of meaning only in Chinese. It contains one thousand characters, each presented in two calligraphic styles: tensho 篆書 (a seal script that was standardised for the needs of official scribes in the early 8th century BCE) and reisho 隷書 (a clerical script for general use that became standardised for the needs of official scribes in the Han dynasty in the last two centuries BCE). Each character is accompanied by an explanation of its meaning in Chinese and a record of the reading of each individual character according to the 反切 system, fǎngiè in Chinese and hansetsu in Japanese (Ikeda 1994). This is a system in which two (or more) characters are used to record the pronunciation of a single character, with the first (音字 Ch. yīnzì or Jpn. onji, or also 父字 Chinese fùzì or Japanese fuji) used to indicate the initial sound of the syllable that the described character represents, while the second (韻字 Chinese yùnzì or Japanese onji, or also 母字 Chinese muzi or Japanese boji) – and the rest of the characters if there are more than one – is used to represent the rest of the syllable, i.e. the vowel nucleus and – if present – the final consonant (Hayashi 1989; Nito 2012; Sasaki 2005).

The monk Kūkai probably compiled the dictionary on the basis of Chinese dictionaries he had learned about while studying in China, since it follows both the arrangement of the entries and the structure of the content of each entry in the dictionary \mathbb{E} (Chinese Yùpiān, Japanese Gyokuhen or Gokuhen) compiled by Gu Yewang \mathbb{E} (Japanese: Ko Yaō) in the 6th century, and likewise contains characters arranged according to the graphic principle of semantic radicals, with a description of the pronunciation according to the fǎnqiè system and an explanation of the meaning.

Almost at the same time, in 831, the Confucian philologist Shigeno no Sadanushi 滋野貞主, at the Emperor's command, compiled another comprehensive dictionary in a thousand scrolls, the *Hifuryaku* 秘府略 (*Treasury of Definitions*), which survives only in part. It contains information from hundreds of Chinese sources, arranged according to semantic criteria.

In addition to dictionaries in the strict sense, it is also worth mentioning glossaries of the ongi 音義 type, which collected glosses and commentaries on particular sutras or other classical works. Since they are limited to one specific work, they are not general dictionaries in the strict sense, but they are the earliest examples of lexicographical works from which modern and later dictionary editors drew. *Ongi* glossaries appeared in the 8th century; the earliest of those produced in Japan is the Shin'yaku kegonkyō ongi shiki 新訳華厳 経音義私記 from the late 8th century, which lists the characters, compound words and harder-to-understand terms in the Avatamsaka sutra, or Kegonkyō 華厳経 in Japanese, in the order in which they appear in the sutra. It lists meaning and pronunciation glosses in classical Chinese for most terms, but it also includes some 160 explanations in Japanese, written according to the man'yōgana principle, partly with the same choice of characters as used in the Man'yōshū collection (Okimori et al. 2008, 26-27). The work is therefore not only an important testimony to the development of philology at the time, but also a primary source for research on the development of the Japanese phonetic system.

4.2 Innovations in Japanese lexicography

4.2.1. Japanese translations

The first innovation that Japanese lexicography brought to dictionaries, which were originally based on Chinese models, was the addition of Japanese explanations or translations to individual entries. Yamada (1943, 77) describes this as a "natural" development (shizen no sei 自然 \mathcal{O} 勢) going from the first annotated transcriptions, which explained the pronunciation or meaning of the more difficult passages and which appeared as soon as writing was adopted, through the first ongi 音義 glossaries, i.e. lists of comments and explanations to individual texts, to the final stage, dictionaries of Classical Chinese with Japanese explanations. Bailey points out that while Yamada provides a reasonable account of the conceptual evolution of Japanese lexicography, this study overlooks the interactions and overlapping developments of all these sources, since commentaries were in fact used not

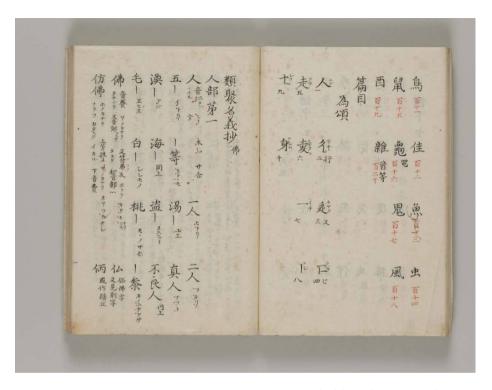


Figure 1: The *Ruiju myōgishō* dictionary (transcript held by the National Institute of Japanese Literature 国文学研究資料館) (https://kotenseki.nijl.ac.jp/biblio/200017313/viewer/22).

only in the texts but also in most of the dictionaries, while at the same time the dictionaries later served as the basis for, and the tools used in, the compilation of new *ongi* glossaries (Bailey 1960, 8).

The oldest dictionary to include Japanese glosses to Chinese characters is the Shinsenjikyō 新撰字鏡 (Mirror of Characters, New Selection), compiled between 898 and 901 by the Buddhist monk Shōjū 昌住 as a tool for reading difficult characters. It contains approximately 21,300 Chinese characters, which are arranged graphically, based on semantic radicals, and thus belongs to the category of jisho 字書. It uses only 160 radicals and is therefore a simplification compared to the above-mentioned Chinese dictionary 玉篇 Yùpiān / Gyokuhen, which uses 542 radicals. While the primary organizing principle is graphic, characters within each graphic category are classified partly into semantic fields, and partly by pronunciation, according to the four

tones order (Sakakura 1950; Fukuda 1971/72). Each entry includes the character's pronunciation in Chinese as well as its pronunciation (or translation) in Japanese, which is written according to the *man'yōgana* system, i.e. exclusively in Chinese characters.

This work was later the basis for the dictionary Ruiju myōqishō 類聚名義抄 (An Annotated Classification of Pronunciations and Meanings), which was compiled in the 11th century (Kaneko 1996, 269) and later revised several times. The Ruiju myōqishō dictionary contains 32,000 characters or character compounds, which are arranged according to their graphic form by semantic radicals, but the number of these radicals – when compared with the Shinsenjikyō dictionary – is here further reduced to 120. Each entry contains, alongside the main character or character compound, a Chinese pronunciation (on'yomi) according to the făngiè system and a Japanese pronunciation, i.e. translation into Japanese (kun'yomi), written partly in man'yōqana and partly in katakana, as illustrated in Figure 1. The Japanese pronunciations also have tone markings, marking the accent in Japanese, making the dictionary a valuable resource for research into the evolution of the Japanese sound system in the Heian period (Yamada 2003). The dictionary also contains quotations from classical Chinese literature, and was meant both as an aid to reading and to writing.

The second oldest dictionary containing Japanese translations is the $Wamy\bar{o}$ $ruijush\bar{o}$ 和名類聚抄 (or also 倭名類聚鈔 or 倭名類聚抄 or abbreviated Wamyōshō和名抄 or 倭名鈔 or 倭名抄), compiled in 931–938 by Minamoto no Shitagō 源順 at the behest of Princess Kinshi or Isoko 勤子, fourth daughter of Emperor Daigo 醍醐 (Yamaguchi et al. 1996, 81). Several transcriptions survive, the shortest comprising 10 volumes and the longest 20. The dictionary collects mostly nouns, which are arranged semantically along the lines of the Chinese dictionary 爾雅 (Chinese Erya, Japanese Iiga, Iig

Figure 2 shows how the translations (the native Japanese equivalents of the Chinese headwords) are written half the size of the *man'yôgana* characters. For example, under the headword 星 ("star"), the author first quotes from the dictionary 説文解字 (Chinese *Shuōwén jiězì*, Japanese *Setsumon kaiji*; this is the part in large characters beginning with 説文云, literally "*Shuōwén* states … "); the entry ends with the word 和名 (*wamyō*, "Japanese name") in smaller characters, followed by the spelling of the native Japanese word *ho*-

shi (meaning "star") in Chinese characters according to the man'yôgana system, i.e. 保之 (hoshi). Sometimes the mark 和名 (wamyō, "Japanese name") is omitted, as in the following entry for 明星, where the entry ends in 阿加保之, i.e. the phonetic spelling of the word akahoshi according to the man'yôgana system. Figure 2 shows a transcription of the dictionary with readings in katakana to the right of most headwords and kunten markings added to the explanations.



Figure 2: *Wamyō ruijushō* (from the Dataset of Pre-Modern Japanese Text of the National Institute of Japanese Literature, provided by the Center for Open Data in the Humanities) (http://codh.rois.ac.jp/iiif/iiif-curation-viewer/index.html?pages=200020691&pos=11, DOI:10.20730/200020691).

In the dictionaries containing Japanese translations and explanations of Chinese characters or words we can thus see the beginning of bilingual lexicography in Japan, which was indispensable for reading and writing in the diglossic environment of premodern Japan. From the introduction of Classical Chinese as the chosen prestige tool of written communication between the 6th and 8th centuries, to the deliberate unification of spoken and written language at the end of the 19th and the beginning of the 20th, the Japanese diglossic linguistic space consisted of, on the one hand, a spoken language that changed

over the centuries, and, on the other hand, a written language that did not take these changes into account. The written language was further subdivided into the native wabun style and the Chinese kanbun style (Frellesvig 2010). In the native wabun style, archaic forms were preserved over the centuries, despite changes in speech at the level of sound, morphology, syntax and vocabulary. The kanbun style, on the other hand, was actually a foreign language, originally Classical Chinese rather than Japanese, which became part of the linguistic repertoire of Japanese educated people over centuries of use (Clements 2015). This is probably also why, in the Japanese lexicographical tradition, dictionaries containing Chinese characters or words with Japanese equivalents or explanations do not belong to the same category as the bilingual dictionaries that began to emerge at the time of contact with European languages, even though they also juxtapose the vocabularies of two originally separate language systems (Tono 2016). However, since Classical Chinese (especially its vocabulary, and to a lesser extent its syntax) has been part of Japanese linguistic education throughout history, lexicographical works that offer Japanese explanations alongside Chinese characters are categorised separately in the Japanese tradition from bilingual dictionaries which juxtapose Japanese and foreign language in alphabetic script.

4.2.1. Distribution of entries according to Japanese pronunciation

The second major innovation in Japanese lexicography was the new ordering of entries according to their Japanese pronunciations. The first use of Japanese pronunciation as a criterion for the arrangement of dictionary entries can be traced back to the pedagogical-encyclopedic dictionary <code>Shōchūre-ki</code> 掌中歷 (Manual Calendar or Handbook), compiled in 1122 by Miyoshi Tameyasu 三善為康. The handbook is basically organised according to semantic criteria as an encyclopaedia of contemporary culture, the entries are grouped into semantic categories and the whole work falls under the category of <code>bunruitai jisho</code> 分類体辞書, but in the category <code>myōjishū</code> 名字集, which lists surnames, these are arranged in the order of the <code>Iroha</code> (Bailey 1960, 13). <code>Iroha</code> is a pangram, i.e. a poem containing all the syllables of the Japanese syllabary, and each only once. For this reason it came to be used as an ordering ("collation") criterion for arranging Japanese words, similar to the way alphabetical order is used as a criterion for arranging words or character strings in the Latin script.

Original <i>hiragana</i> with Romanization	Modern spelling and pro- nunciation	Translation into English
いろはにほへと i ro ha ni ho he to	色は匂へど Iro wa nioedo	Even the fragrant blossoms,
ちりぬるを chi ri nu ru wo	散りぬるを chirinuru o	Will scatter.
わかよたれそ wa ka yo ta re so	我が世誰ぞ Wagayo darezo	Who in this world
つねならむ tsu ne na ra mu	常ならん tsune naran	Will always be?
うゐのおくやま u wi no o ku ya ma	有為の奥山 Ui no okuyama	Mountains of impermanence
けふこえて ke fu ko e te	今日越えて kyō koete	Let us cross them today
あさきゆめみし a sa ki yu me mi shi	浅き夢見じ Asaki yume miji	Without shallow dreams
ゑひもせす	酔ひもせず	And without delusions.

Table 1: The *Iroha uta* poem

Not long after this manual, at the beginning of the Kamakura period, the first work was produced in which all the dictionary entries were arranged in the order of the *Iroha* poem. This is the *Iroha jiruishō* 色葉字類抄 or 伊呂波字類抄 dictionary, compiled by Tachibana Tadakane 橘忠兼 between 1144 and 1145, and then continually updated over a period of almost 40 years. The first edition was probably in two volumes, the earliest surviving edition is in three volumes, and there is also an updated edition with a title that has the same pronunciation but a different spelling, i.e. 伊呂波字類抄, in ten volumes (Okimori et al. 2008, 42; Konno 2014b, 131). In this dictionary the words are arranged into 47 chapters according to their first syllable in the order of the *Iroha* poem, and within each sound-based chapter into a further 21 semantic categories (Bailey 1960, 18):

voi mo sezu

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ten 天 ("heaven"),
chigi 地儀 ("geography"),
shokubutsu 植物 ("plants"),
dōbutsu 動物 ("animals"),
jinrin 人倫 ("human relations, morals"),
jintai 人体 ("human body"),
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we hi mo se su

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jinji 人事 ("human affairs"),
inshoku 飲食 ("food and drink"),
zōmotsu 雜物 ("miscellaneous goods"),
kōsai 光彩 ("colours"),
hōgaku 方角 ("directions"),
inzū 員数 ("numbers"),
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jiji 辞字 ("words", containing characters that do not belong to other categories, are written with one character and are linked to the same kun'yomi, i.e. are pronounced or interpreted with the same Japanese word; they are arranged in order of ascending syllable count),

jūten or chōten or chōden 重点 ("repetition", these are words in which the same morpheme is repeated, which can express plurals, such as 年々トシトシ toshitoshi "years"),

 $j\bar{o}ji$ 畳字 ("repeated characters", this category lists multi-morphemic Sino-Japanese words, such as 陰晴 インセイ *insei* "cloudy and clear", etc.),

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shosha 諸社 ("Shinto shrines"),
shoji 諸寺 ("Buddhist temples"),
kokugun 国郡 ("lands and localities"),
kanshoku 官職 ("official titles, functions"),
seishi 姓氏 ("clan names, patronymics"),
myōji 名字 ("family names").
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The dictionary also contains everyday native words. Each word is listed in Chinese characters with its pronunciation in *katakana*, and some words have additional explanations in the Chinese *kanbun* style. These explanations are relatively few in number and are clearly intended as semantic indices of polysemous words rather than to provide a broader semantic explanation of all the Japanese words contained.

This suggests that the dictionary was probably organised in such a macrostructure in order to serve as an aid to writing texts and poems, rather than for reading older texts with archaic or lesser-known words, which is a feature of its predecessors.

The order of the *Iroha* poem was well known in the late Heian period. Organised in this way, the dictionary allowed users to quickly find the spelling of any common word for which they wanted to check the standard written form. Until then, dictionaries of the type 切韻 (Chinese: *Qièyùn* or Japanese:

Setsuin) were used to write or find the correct form of the desired word in the order of their pronunciation in Chinese (on'yomi), although this clearly required prior knowledge of the Chinese pronunciation of the desired character, or dictionaries of the bunruitai 分類体 type, in which words are arranged according to semantic categories, such as the Japanese $Wamy\bar{o}$ ruijush \bar{o} 和名類聚抄, but where searches could be very time-consuming.

Rather than following the categories of the 10th century *Wamyō ruijushō* 和名類聚抄 dictionary, the list of categories in the *Iroha jiruishō* dictionary is more akin to the categorizations, based on Chinese models, that are found in the two dictionaries of Japanese words for writing Japanese-style poetry from the beginning of the 12th century, the *Kigoshō* 綺語抄 (*Handbook of Rare Words*), compiled by *Fujiwara no Nakazane* 藤原仲実, and the *Waka dōmōshō* 和歌童蒙抄 (*Introductory Guide to Writing Japanese Songs*), by Fujiwara no Norikane 藤原範兼 (Bailey 1960, 18-20). However, by introducing a basic arrangement according to the pronunciation of words in Japanese, *Iroha jiruishō* made it easier and faster to access information about word forms. The introduction of collation according to the established order of Japanese pronunciation was thus a distinctly practical move, bringing the dictionary to a wider audience of less skilled writers.

The *Iroha jiruishō* dictionary, the last great dictionary of the Heian period, thus introduced innovations that were highly practical, while still reflecting the influence of the classification systems found in Chinese literary anthologies. This can be attributed to the fact that its author, like most Heian-era lexicographers, was also a literary scholar.

The *Iroha jiruishō* had a profound influence on the later development of Japanese lexicography. The *Setsuyōshū* 節用集 (literally "A Collection That Requires Little Effort"), was compiled on the same principle.

In the following Kamakura (1185–1333) and Muromachi (1336–1392) periods, the use of dictionaries, which until the Heian period had been mainly restricted to monks and literati among the nobility, also became widespread among soldiers, thanks in part to the pedagogical zeal of the Zen monks (Bailey 1960, 24). The dictionaries produced in the Muromachi period were mostly compiled by anonymous Zen monks for practical pedagogical reasons rather than for the purpose of philological analysis. This era also saw the emergence of publishing houses in towns outside the capital and the spread of movable-type printing, which was taken over from the Korean peninsula at the end of the 16th century, all of which led to a wider reach of dictionaries and their use outside the capital and beyond the elites.

The Muromachi period saw the emergence of practical dictionaries designed to aid reading and writing, combining the data and macrostructures of various previous types of dictionary. The dictionary from this period that underwent the most reprints and revisions was the $Setsuy\bar{o}sh\bar{u}$ (or $Secch\bar{o}sh\bar{u}$) 節用集. It was created between 1444 and 1474 (Okimori et al. 2008, 52). Like the Iroha $jiruish\bar{o}$, the $Setsuy\bar{o}sh\bar{u}$ is primarily organised according to the pronunciation of Japanese words, in the order of the Iroha poem, while within each section, words beginning with the same syllable from the Iroha series are further arranged into semantic categories ranging from tenchi 天地 "natural phenomena" to total genji 音辞 "words" that do not belong to other categories. More than 50 versions of the original total genji dictionary survive, and in the later Edo period the name total genji became synonymous with the term "dictionary" in general, resulting in hundreds of different works with this name.

In the Edo period (1603–1867) dictionaries were no longer just tools for checking the correct form of words or the pronunciation of unfamiliar characters, but more broadly pedagogically oriented manuals with the characteristics of textbooks. The large-format editions of the $Setsuy\bar{o}sh\bar{u}$ 節用集, the most widely used dictionary in the Edo period, contained an increasing number of appendices and annexes. For example, the $Dai\ Nippon\ eitai\ setsuyou\ mujinz\bar{o}\ 大日本永代節用無尽蔵\ dictionary,\ printed\ in\ 1750,\ contains\ no\ fewer\ than\ 170\ appendices\ with\ lists\ of\ place\ names,\ plants,\ diseases,\ names\ of\ the\ months,\ maps,\ recipes,\ etc.,\ partly\ before\ the\ main\ part\ (100\ pages\ in\ size)\ containing\ dictionary\ entries,\ and\ partly\ after\ it\ (Yuasa\ 1995,\ 229-230).$

5 Reflections of social change in the development of Japanese pre-modern lexicography

While in the Heian period the sphere of dictionary compilers more or less coincided with the limited circle of people who also used those same dictionaries (philologists and literati from the ranks of nobility, and monks), in the Kamakura period a dividing line was gradually drawn between compilers and users, i.e. between the few philologists who compiled the dictionaries and the ever-widening circle of literate people who used them (Akutsu 2005, 168).

From the Nara and Heian periods to the end of the Muromachi period, several shifts in the use of dictionaries can be observed.

While the first dictionaries were mainly tools for reading and understanding unfamiliar words, organised primarily either according to the form of the characters or according to semantic criteria into semantic fields, with the spread of literacy user-friendly dictionaries, organised phonetically and serving as writing aids, gradually developed and spread.

The first dictionaries were mainly intended for philological study and a relatively limited circle of people, while later dictionaries served the everyday needs of a growing number of readers and writers.

In the Edo period, the spread of literacy and education among the lower social classes and the development of commercially oriented publishing businesses led to the expansion of the use and production of dictionaries, which also became increasingly convenient and user-friendly. The first dictionaries for children were also produced at this time (Sekiba 1993).

The history and development of Japanese lexicography thus reflects changes in Japanese society related to language and literacy. These occurred from the Nara and Heian periods, when members of the priestly and noble castes had a virtual monopoly on knowledge and its dissemination in written form, to the relative democratization of knowledge in the Edo period, when the broader masses gradually gained access to writing and printed books.

It is therefore perhaps no coincidence that in parallel with the gradual shift away from elitism and a strictly hierarchically organised society towards a relatively more democratically organised one, there was also a shift away from the predominantly hierarchical macrostructures in dictionaries, organised by semantic categories, towards a more egalitarian arrangement of words according to a standardised order of pronunciation, which can be seen not only in Japan with the adoption of the pronunciation order in the *Iroha* poem (and later the more scientific *fifty sounds* order *gojūonjun* 五十音順), but also in the adoption of the alphabetical order in the arrangement of words in dictionaries in Europe during the late Middle Ages (Weijers 1989; Daly and Daly 1964). Such changes were only possible after the emergence and spread of a standardised word order (collation norms) and standardised orthography, and with the spread of universal literacy.

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